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January 11, 2002

BOX PATENT APPLICATION  
Assistant Director For Patents  
Washington, D.C. 20231

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addressed to BOX PATENT APPLICATION, Assistant Director for  
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Derrick T. Gordon

Name of Person Mailing Paper or Fee

January 11, 2002

Date of Signature

Re: New U.S. Patent Application  
METHOD AND APPARATUS FOR MAINTAINING A UNIFORM NET  
ASSET VALUE PER SHARE OR UNIT  
By: Kenneth C. GRIFFIN  
Attorney Docket: 04110/00202

Dear Sir:

Enclosed for filing are the following papers relating to  
METHOD AND APPARATUS FOR MAINTAINING A UNIFORM NET ASSET VALUE PER  
SHARE OR UNIT, Kenneth C. GRIFFIN, inventor:

- (1) Specification (33 pages, including 14 claims and an abstract);
- (2) Executed Declaration and Power of Attorney (3 pages);
- (3) Formal Drawings (5 sheets);
- (4) Transmittal letter (1 page) with PrintEFS Patent Application Bibliographic Data (1 page); and

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January 11, 2002  
Page 2

- (5) Assignment (2 pages) of the invention to CITADEL INVESTMENT GROUP, L.L.C., with attached Recordation Form Cover Sheet (1 page) (in duplicate).

The amount of the filing fee is calculated below:

			Fee for small entity			Fee for other than small entity	
Fee	No. filed	No. extra *	Rate	Fee	OR	Rate	Fee
Basic fee				\$370	OR		\$740
Total claims	14-20=	0	X \$9=	\$0	OR	X \$18=	\$-0-
Independent claims	5-3=	2	X \$42=	\$0	OR	X \$84=	\$168
Multiple Dependent Claim Presented	0	0	+\$140=	\$0	OR	+\$280=	\$-0-
			Total	\$0	OR	Total	\$908

\*If the difference in Column 1 is less than zero, enter "0" in Column 2.

Please charge the required fees (\$740.00 for the application filing fee, \$40.00 for the recordal of assignment fee and \$168.00 for the excess claims fee) to Sidley Austin Brown & Wood LLP's Deposit Account No. 18-1260. If additional fees are due, please charge any fees during the pendency of this application (other than the issue fee) to Deposit Account No. 18-1260. Please credit any overpayment to Deposit Account No. 18-1260.

This transmittal letter is being filed in duplicate.

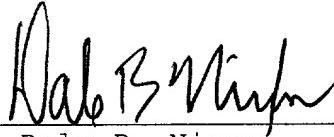
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January 11, 2002  
Page 3

All correspondence is to be directed to the address  
associated with the customer number 26116.

Respectfully submitted,

By:   
Dale B. Nixon  
Registration No. 28,454  
Attorney for Applicant

DBN/rb  
Enclosures